

## Referral Promotion

### Terms and Conditions

1. By participating in this Promotion, you agree to be bound by the Terms and Conditions of the Promotion and any such variation.
2. This Promotion is only applicable to client of SoFi Hong Kong (Securities) Limited ("SoFi HK").
3. This Promotion start date is 29 July 2024 at 2:00 p.m. (HKT).
4. This Promotion will end on 28 August 2024 at 11:59 p.m (HKT).
5. By completing the below Tasks and fulfilling the relevant Task Requirements within the specified promotion period, you are entitled to the relevance Rewards as stated in the below tables.

### Task "Refer a Friend"

| Task Requirements   | Referral      | Rewards   |
|---|---------------|---|
| <p>You are eligible for one Rewards for each referral (Capped at 10) as stated in the right-hand columns given that:</p> <ul style="list-style-type: none"> <li>- The referee has submitted an account opening application to SoFi HK using a valid referral code of you by no later than the end of 28 August 2024 at 11:59 p.m. (HKT) and successfully opens an account with SoFi HK; and</li> <li>- The referee executes any buy or sell transactions of the Hong Kong Listed Securities or US Listed Securities ("Eligible Transactions") via SoFi HK mobile application by 1 October 2024 at 8:00 a.m. (HKT).</li> </ul> | Each Referral | One share of Alibaba Group Holding Limited ( <b>BABA:US</b> ) |

6. You can only claim each Reward once during the Promotion period, you will not be entitled for any Rewards for any further referral.
7. SoFi HK will deposit the Rewards to your SoFi HK account within 90 business days after the task completion day. Your account must be active at that time.
8. There are limited quotas for the Rewards and the Rewards are available on a first come first served basis.
9. This Promotion and Rewards is subjected to availability. SoFi HK reserves the right to replace the Rewards with alternative items without prior notice and at SoFi HK's sole discretion.
10. This Promotion may not be transferred or sold.
11. This Promotion may not be used with other promotional events.
12. All Rewards are non-exchangeable for cash and / or other gifts.
13. You are responsible for and are required to pay all fees, charges and taxes associated with the Rewards. SoFi HK shall be deemed to have discharged its obligations once the Rewards has been deposited into your SoFi HK account.
14. You warrant and undertake that:
  - a) You as a referrer did not and will not discuss with, recommend or otherwise encourage anyone to enter into any specific transactions with SoFi HK;
  - b) you as a referrer did not and will not issue, publish or distribute any advertisement, marketing materials or other sales literature utilizing or making reference to SoFi HK or its products / services or use such means to solicit anyone;
  - c) referrals are not made by way of solicitation and should only be made at the request of the referee.
15. A referee must not be:
  - a) An existing client of SoFi HK; or
  - b) A prior client of SoFi HK; or
  - c) A corporate account whose beneficiary is an existing client or a prior client of SoFi HK.
16. SoFi HK reserves the right to terminate this Promotion at any time. Notice of termination may or may not be posted (but SoFi HK is not obliged to do so) to SoFi HK's website or via other means. After the termination, no one can participate in this Promotion and no one holds the right to claim any Rewards from this Promotion from SoFi HK.
17. SoFi HK reserves the right to vary the terms and conditions of this Promotion or to withdraw this Promotion at any time. SoFi HK's decision is final in all matters relating to this Promotion.
18. In the event of any inconsistency between these Terms and Conditions and any brochure, marketing or promotional material relating to this promotion, these Terms and Conditions will prevail.
19. These terms and conditions are prepared in both English and Chinese versions, in the event of discrepancy between the versions, the English version shall prevail.