

Lend and Earn 2.0 Promotion

Terms and Conditions

- 1. By participating in SoFi Securities (Hong Kong) Limited ("SoFi HK") Lend and Earn 2.0 Promotion ("Promotion"), you agree to be bound by the Terms and Conditions of the Promotion and any such variation.
- 2. This promotion is applicable to existing and new clients who have securities cash trading accounts with SoFi HK.
- 3. By completing the below Tasks and fulfilling the relevant Task Requirements within the specified promotion period, you are entitled to the relevant Rewards ("Rewards") as stated in the below tables.
- 4. This Promotion start date is 12 December 2024 at 12:00 a.m. (HKT).
- 5. This Promotion will end on 12 January 2025 by 11:59 p.m. (HKT) and 31 January 2025 by 11:59 p.m. (HKT) for Task "Early Bird" and Task "General Offer" respectively.

Task "Early Bird"

| Task Requirements | Rewards |
|---|----------------|
| You are eligible for one Rewards: | 40 SoFi Points |
| - Successfully participate in the Securities Lending Program ('Lend & Earn 2.0") by 12 January 2025 at 11:59 p.m. (HKT) and | |
| - Have at least one share of any US Listed Securities in your SoFi HK account on 12 January 2025 at 11:59 p.m. (HKT) or | |
| - Successfully executed at least one buy or sell transaction of the US Listed Securities ("Eligible Transaction") via SoFi HK mobile application by 12 January 2025 at 11:59 p.m. (HKT) | |

Task "General Offer"

| Task Requirements | Rewards |
|---|----------------|
| You are eligible for one Rewards: | 10 SoFi Points |
| - Successfully participate in the Securities Lending Program ('Lend & Earn 2.0") by 31 January 2025 at 11:59 p.m. (HKT) | |

- 6. You can only complete either Task "General Offer" or Task "Early Bird" once and you can only enjoy the relevant Rewards once, subject to a maximum reward of 40 SoFi Points.
- 7. Any trade transaction with commission waiver or platform fee waiver will NOT be considered as an eligible transaction.
- 8. SoFi HK will deposit the Rewards to your SoFi HK account within 45 business days after the task completion day. Your account must be active at that time.
- 9. There are limited quotas for the Rewards and the Rewards are available on a first come first served basis.
- 10. You are responsible for and are required to pay all fees, charges and taxes associated with the Rewards. SoFi HK shall be deemed to have discharged its obligations once the Rewards has been deposited into your SoFi HK account.
- 11. This Promotion and Rewards is subjected to availability. SoFi HK reserves the right to replace the Rewards with alternative items without prior notice and at SoFi HK's sole discretion.
- 12. This promotion is not applicable to:
 - any existing and new clients who have securities margin trading account with SoFi HK.
- 13. This Promotion may not be transferred or sold.
- 14. This Promotion may not be used with other promotional events.
- 15. All Rewards are non-exchangeable for cash and / or other gifts.
- 16. SoFi HK reserves the right to terminate this Promotion at any time. Notice of termination may or may not be posted (but SoFi HK is not obliged to do so) to SoFi HK's website or via other means. After the termination, no one can participate in this Promotion and no one holds the right to claim any Rewards from this Promotion from SoFi HK.
- 17. SoFi HK reserves the right to vary the terms and conditions of this Promotion or to withdraw this Promotion at any time. SoFi HK's decision is final in all matters relating to this Promotion.
- 18. SoFi Points is a loyalty program provided by SoFi HK. You can refer to the SoFi Points Terms and Conditions in the SoFi Hong Kong mobile application ("App") for more details.
- 19. In the event of any inconsistency between these Terms and Conditions and any brochure, marketing or promotional material relating to this promotion, these Terms and Conditions will prevail.
- 20. These terms and conditions are prepared in both English and Chinese versions, in the event of discrepancy between the versions, the English version shall prevail.